

Form 603Corporations Act 2001
Section 671B**Notice of initial substantial holder**

To Company Name/Scheme CAPRICORN METALS LTD

ACN/ARSN 121 700 105

1. Details of substantial holder (1)

Name BlackRock Group (BlackRock Inc. and subsidiaries named in Annexures
ACN/ARSN (if applicable) to this form)

The holder became a substantial holder on 08 /11 /2024

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Person's votes (5)	Voting power (6)
ORD	21,419,306	21,419,306	5.21

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Annexure A & D		

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
see Annexure A			

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
see Annexure B				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
see Annexure A	

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
see Annexure C	

Signature

print name Jack Tuberosa

capacity Authorised Signatory*

sign here

date 12 / 11 / 2024

* At a meeting of the Board on 22 November 2013, the Directors resolved to approve the delegation of the preparation and lodgement of substantial shareholder notices on behalf of BlackRock Investment Management (Australia) Limited.

DIRECTIONS

- (1) If there are a number of substantial holders with similar or related relevant interests (eg. a corporation and its related corporations, or the manager and trustee of an equity trust), the names could be included in an annexure to the form. If the relevant interests of a group of persons are essentially similar, they may be referred to throughout the form as a specifically named group if the membership of each group, with the names and addresses of members is clearly set out in paragraph 7 of the form.
- (2) See the definition of "associate" in section 9 of the Corporations Act 2001.
- (3) See the definition of "relevant interest" in sections 608 and 671B(7) of the Corporations Act 2001.
- (4) The voting shares of a company constitute one class unless divided into separate classes.
- (5) The total number of votes attached to all the voting shares in the company or voting interests in the scheme (if any) that the person or an associate has a relevant interest in.
- (6) The person's votes divided by the total votes in the body corporate or scheme multiplied by 100.
- (7) Include details of:
 - (a) any relevant agreement or other circumstances by which the relevant interest was acquired. If subsection 671B(4) applies, a copy of any document setting out the terms of any relevant agreement, and a statement by the person giving full and accurate details of any contract, scheme or arrangement, must accompany this form, together with a written statement certifying this contract, scheme or arrangement; and
 - (b) any qualification of the power of a person to exercise, control the exercise of, or influence the exercise of, the voting powers or disposal of the securities to which the relevant interest relates (indicating clearly the particular securities to which the qualification applies).See the definition of "relevant agreement" in section 9 of the Corporations Act 2001.
- (8) If the substantial holder is unable to determine the identity of the person (eg. if the relevant interest arises because of an option) write "unknown".
- (9) Details of the consideration must include any and all benefits, money and other, that any person from whom a relevant interest was acquired has, or may, become entitled to receive in relation to that acquisition. Details must be included even if the benefit is conditional on the happening or not of a contingency. Details must be included of any benefit paid on behalf of the substantial holder or its associate in relation to the acquisitions, even if they are not paid directly to the person from whom the relevant interest was acquired.

12-Nov-24

Date

Jack Tuberosa,
Authorised Signatory

3. Details of Relevant Interests**4. Details of present registered holders****6. Associates**

Holder of Relevant Interests Name and ACN / ARSN (if applicable)	Nature of Relevant Interest	Registered Holder of Securities	Person entitled to be registered as holder	Class and number of Securities	Person's votes	Nature of Association
BlackRock Advisors (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET DUBLIN		ORD 2,079,662	2,079,662	subsidiary of BlackRock Inc.
BlackRock Advisors (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	STATE STREET BANK, MUNICH, ZURICH BRANCH		ORD 48,144	48,144	subsidiary of BlackRock Inc.
BlackRock Advisors, LLC	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNYM-THE BANK OF NEW YORK		ORD 84,485	84,485	subsidiary of BlackRock Inc.
BlackRock Asset Management Canada Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET SACRAMENTO		ORD 139,492	139,492	subsidiary of BlackRock Inc.
BlackRock Financial Management, Inc.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-SSB NEW YORK INSTITUTIONAL		ORD 93,060	93,060	subsidiary of BlackRock Inc.
BlackRock Fund Advisors	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET BANK, BOSTON		ORD 85,808	85,808	subsidiary of BlackRock Inc.
BlackRock Fund Advisors	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET SACRAMENTO		ORD 3,167,921	3,167,921	subsidiary of BlackRock Inc.
BlackRock Institutional Trust Company, National Association	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNYM-THE BANK OF NEW YORK		ORD 98,366	98,366	subsidiary of BlackRock Inc.
BlackRock Institutional Trust Company, National Association	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	JPM-JP MORGAN CHASE - NEW YORK		ORD 2,746,231	2,746,231	subsidiary of BlackRock Inc.
BlackRock Institutional Trust Company, National Association	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-SSB NEW YORK INSTITUTIONAL		ORD 38,827	38,827	subsidiary of BlackRock Inc.
BlackRock Institutional Trust Company, National Association	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET SACRAMENTO		ORD 705	705	subsidiary of BlackRock Inc.
BlackRock Investment Management (Australia) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNP-BNP PARIBAS AUSTRALIA - COGENT		ORD 55,405	55,405	subsidiary of BlackRock Inc.
BlackRock Investment Management (Australia) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	CITI-CITIBANK, HONG KONG		ORD 76,923	76,923	subsidiary of BlackRock Inc.
BlackRock Investment Management (Australia) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	JPM-JPM CHASE - SYDNEY		ORD 2,196,529	2,196,529	subsidiary of BlackRock Inc.
BlackRock Investment Management (Australia) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	NAB-NATIONAL AUSTRALIA BANK LIMITED - MELBOURNE		ORD 343,876	343,876	subsidiary of BlackRock Inc.
BlackRock Investment Management (Australia) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	Northern Trust Singapore		ORD 831,829	831,829	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNYM-BANK OF NY BRUS		ORD 215,994	215,994	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNYM-BONY EUROPE - LONDON		ORD 3,096,450	3,096,450	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	BNYM-BONY LUXEMBOURG		ORD 4,855,982	4,855,982	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	CITI-CITIBANK, LONDON		ORD 531,683	531,683	subsidiary of BlackRock Inc.

Holder of Relevant Interests Name and ACN / ARSN (if applicable)	Nature of Relevant Interest	Registered Holder of Securities	Person entitled to be registered as holder	Class and number of Securities	Person's votes	Nature of Association
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	HSBC-HSBC DUSSELDORF		ORD 290,631	290,631	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	JPM-JP MORGAN CHASE - NEW YORK		ORD 47,642	47,642	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	NT-NORTHERN TRUST UK		ORD 61,675	61,675	subsidiary of BlackRock Inc.
BlackRock Investment Management (UK) Limited	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	SSB-STATE STREET LONDON		ORD 4,908	4,908	subsidiary of BlackRock Inc.
BlackRock Investment Management, LLC	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	JPM-JP MORGAN CHASE - NEW YORK		ORD 13,680	13,680	subsidiary of BlackRock Inc.
BlackRock Japan Co., Ltd.	Power to control the exercise of a right to vote attached to securities and/or the power to dispose of securities held in its capacity as investment manager, reponsible entity or trustee.	CITI-CITIBANK, LONDON		ORD 213,398	213,398	subsidiary of BlackRock Inc.

This is Annexure B of 4 pages referred to in form 603 Notice of initial substantial shareholder

12-Nov-24

Jack Tuberosa,
Authorised Signatory

Date

5. Consideration

CAPRICORN METALS LTD (CMM)

Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt		Consideration			Class and number of securities affected	Person's votes affected
			Cash	Non-cash	CCY		
BlackRock Advisors (UK) Limited	09-Jul-24	yes	5.07	on mkt buy	USD	ORD 1,925	1,925
BlackRock Advisors (UK) Limited	09-Jul-24	yes	5.07	on mkt buy	USD	ORD 5,775	5,775
BlackRock Institutional Trust Company, National Association	10-Jul-24	yes	5.15	on mkt buy	USD	ORD 8,793	8,793
BlackRock Investment Management (Australia) Limited	12-Jul-24	yes	5.35	on mkt buy	USD	ORD 2,920	2,920
BlackRock Advisors (UK) Limited	17-Jul-24	yes	5.47	on mkt buy	USD	ORD 3,854	3,854
BlackRock Investment Management (Australia) Limited	19-Jul-24	yes	5.37	on mkt buy	USD	ORD 4,899	4,899
BlackRock Investment Management (Australia) Limited	22-Jul-24	yes	5.36	on mkt buy	USD	ORD 2,190	2,190
BlackRock Fund Advisors	23-Jul-24	yes	5.31	on mkt buy	USD	ORD 33,838	33,838
BlackRock Investment Management (UK) Limited	23-Jul-24	no	n/a	collateral transfer	USD	ORD 257,840	257,840
BlackRock Fund Advisors	24-Jul-24	no	n/a	in specie	USD	ORD 4,560	4,560
BlackRock Investment Management (Australia) Limited	26-Jul-24	yes	5.28	on mkt buy	USD	ORD 2,190	2,190
BlackRock Investment Management (Australia) Limited	29-Jul-24	yes	5.30	on mkt buy	USD	ORD 730	730
BlackRock Advisors (UK) Limited	29-Jul-24	no	n/a	collateral transfer	USD	ORD 257,840	257,840
BlackRock Investment Management (Australia) Limited	30-Jul-24	yes	5.17	on mkt buy	USD	ORD 730	730
BlackRock Investment Management (Australia) Limited	30-Jul-24	yes	5.17	on mkt buy	USD	ORD 2,555	2,555
BlackRock Investment Management (Australia) Limited	30-Jul-24	yes	5.17	on mkt buy	USD	ORD 4,380	4,380
BlackRock Investment Management (Australia) Limited	30-Jul-24	yes	5.17	on mkt buy	USD	ORD 3,650	3,650
BlackRock Investment Management (UK) Limited	30-Jul-24	no	n/a	collateral transfer	USD	ORD 257,840	257,840
BlackRock Investment Management (Australia) Limited	31-Jul-24	yes	5.38	on mkt buy	USD	ORD 365	365
BlackRock Advisors (UK) Limited	01-Aug-24	yes	5.44	on mkt buy	USD	ORD 5,751	5,751
BlackRock Investment Management (Australia) Limited	02-Aug-24	yes	5.52	on mkt buy	USD	ORD 5,558	5,558
BlackRock Investment Management (Australia) Limited	02-Aug-24	yes	5.52	on mkt buy	USD	ORD 2,906	2,906
BlackRock Investment Management (Australia) Limited	05-Aug-24	yes	5.51	on mkt buy	USD	ORD 1,095	1,095
BlackRock Advisors (UK) Limited	07-Aug-24	yes	5.66	on mkt buy	USD	ORD 5,757	5,757
BlackRock Investment Management (Australia) Limited	09-Aug-24	yes	5.76	on mkt buy	USD	ORD 4,745	4,745
BlackRock Investment Management (Australia) Limited	15-Aug-24	yes	5.93	on mkt buy	USD	ORD 2,920	2,920
BlackRock Investment Management (Australia) Limited	15-Aug-24	yes	5.93	on mkt buy	USD	ORD 13,279	13,279
BlackRock Investment Management (Australia) Limited	19-Aug-24	yes	5.95	on mkt buy	USD	ORD 2,791	2,791
BlackRock Investment Management (Australia) Limited	20-Aug-24	yes	5.91	on mkt buy	USD	ORD 730	730
BlackRock Investment Management (Australia) Limited	20-Aug-24	yes	5.91	on mkt buy	USD	ORD 14,600	14,600
BlackRock Investment Management (Australia) Limited	22-Aug-24	yes	5.98	on mkt buy	USD	ORD 55,366	55,366
BlackRock Investment Management (Australia) Limited	23-Aug-24	yes	5.97	on mkt buy	USD	ORD 2,751	2,751
BlackRock Investment Management (UK) Limited	27-Aug-24	no	n/a	collateral transfer	USD	ORD 10,934	10,934
BlackRock Advisors (UK) Limited	28-Aug-24	yes	5.93	on mkt buy	USD	ORD 1,929	1,929
BlackRock Advisors (UK) Limited	29-Aug-24	yes	5.84	on mkt buy	USD	ORD 28,965	28,965

Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt		Consideration			Class and number of securities affected		Person's votes affected
			Cash	Non-cash	CCY			
BlackRock Investment Management (Australia) Limited	29-Aug-24	yes	5.84	on mkt buy	USD	ORD	12,375	12,375
BlackRock Fund Advisors	30-Aug-24	yes	5.99	on mkt buy	USD	ORD	73,477	73,477
BlackRock Advisors (UK) Limited	30-Aug-24	yes	5.99	on mkt buy	USD	ORD	152,282	152,282
BlackRock Investment Management (UK) Limited	02-Sep-24	no	n/a	collateral transfer	USD	ORD	2	2
BlackRock Investment Management (Australia) Limited	04-Sep-24	yes	5.48	on mkt buy	USD	ORD	3,650	3,650
BlackRock Advisors (UK) Limited	05-Sep-24	yes	5.55	on mkt buy	USD	ORD	1,948	1,948
BlackRock Fund Advisors	06-Sep-24	no	n/a	in specie	USD	ORD	885	885
BlackRock Advisors (UK) Limited	09-Sep-24	yes	5.42	on mkt buy	USD	ORD	1,950	1,950
BlackRock Fund Advisors	11-Sep-24	no	n/a	in specie	USD	ORD	2,488	2,488
BlackRock Investment Management (Australia) Limited	12-Sep-24	yes	5.69	on mkt buy	USD	ORD	3,650	3,650
BlackRock Advisors (UK) Limited	12-Sep-24	yes	5.69	on mkt buy	USD	ORD	9,780	9,780
BlackRock Investment Management (Australia) Limited	17-Sep-24	yes	6.24	on mkt buy	USD	ORD	2,950	2,950
BlackRock Fund Advisors	18-Sep-24	no	n/a	in specie	USD	ORD	3,744	3,744
BlackRock Fund Advisors	19-Sep-24	no	n/a	in specie	USD	ORD	12,480	12,480
BlackRock Investment Management (Australia) Limited	19-Sep-24	yes	6.29	on mkt buy	USD	ORD	13,593	13,593
BlackRock Institutional Trust Company, National Association	19-Sep-24	yes	6.32	on mkt buy	USD	ORD	214,226	214,226
BlackRock Investment Management (Australia) Limited	20-Sep-24	yes	6.38	on mkt buy	USD	ORD	42,716	42,716
BlackRock Advisors (UK) Limited	20-Sep-24	yes	6.34	on mkt buy	USD	ORD	898	898
BlackRock Investment Management (Australia) Limited	20-Sep-24	yes	6.36	on mkt buy	USD	ORD	42,431	42,431
BlackRock Investment Management (Australia) Limited	20-Sep-24	yes	6.36	on mkt buy	USD	ORD	51,389	51,389
BlackRock Investment Management (Australia) Limited	20-Sep-24	yes	6.36	on mkt buy	USD	ORD	18,080	18,080
BlackRock Advisors (UK) Limited	20-Sep-24	yes	6.36	on mkt buy	USD	ORD	226,068	226,068
BlackRock Investment Management (Australia) Limited	20-Sep-24	yes	6.36	on mkt buy	USD	ORD	6,252	6,252
BlackRock Investment Management (Australia) Limited	23-Sep-24	yes	6.29	on mkt buy	USD	ORD	7,660	7,660
BlackRock Advisors (UK) Limited	23-Sep-24	yes	6.29	on mkt buy	USD	ORD	10,860	10,860
BlackRock Advisors (UK) Limited	23-Sep-24	no	n/a	collateral transfer	USD	ORD	1	1
BlackRock Investment Management (Australia) Limited	24-Sep-24	yes	6.20	on mkt buy	USD	ORD	5,362	5,362
BlackRock Advisors (UK) Limited	24-Sep-24	yes	6.20	on mkt buy	USD	ORD	17,360	17,360
BlackRock Investment Management (Australia) Limited	24-Sep-24	yes	6.20	on mkt buy	USD	ORD	20,672	20,672
BlackRock Fund Advisors	24-Sep-24	yes	6.20	on mkt buy	USD	ORD	23,246	23,246
BlackRock Investment Management (Australia) Limited	25-Sep-24	yes	5.97	on mkt buy	USD	ORD	3,360	3,360
BlackRock Advisors (UK) Limited	25-Sep-24	no	n/a	collateral transfer	USD	ORD	1	1
BlackRock Investment Management (Australia) Limited	26-Sep-24	yes	5.97	on mkt buy	USD	ORD	431	431
BlackRock Investment Management (Australia) Limited	26-Sep-24	yes	5.97	on mkt buy	USD	ORD	69,801	69,801
BlackRock Advisors (UK) Limited	26-Sep-24	yes	5.97	on mkt buy	USD	ORD	8,676	8,676
BlackRock Advisors (UK) Limited	27-Sep-24	yes	5.90	on mkt buy	USD	ORD	1,347	1,347
BlackRock Investment Management (Australia) Limited	27-Sep-24	yes	5.90	on mkt buy	USD	ORD	11,788	11,788
BlackRock Fund Advisors	30-Sep-24	no	n/a	in specie	USD	ORD	6,864	6,864
BlackRock Fund Advisors	30-Sep-24	no	n/a	in specie	USD	ORD	4,992	4,992
BlackRock Institutional Trust Company, National Association	30-Sep-24	yes	5.75	on mkt buy	USD	ORD	149,566	149,566
BlackRock Institutional Trust Company, National Association	30-Sep-24	yes	5.75	on mkt buy	USD	ORD	765	765
BlackRock Investment Management (Australia) Limited	30-Sep-24	yes	5.75	on mkt buy	USD	ORD	3,830	3,830
BlackRock Advisors (UK) Limited	30-Sep-24	no	n/a	collateral transfer	USD	ORD	1	1
BlackRock Investment Management (Australia) Limited	01-Oct-24	yes	5.84	on mkt buy	USD	ORD	5,971	5,971
BlackRock Advisors (UK) Limited	02-Oct-24	yes	5.80	on mkt buy	USD	ORD	906	906
BlackRock Investment Management (Australia) Limited	02-Oct-24	yes	5.80	on mkt buy	USD	ORD	2,754	2,754
BlackRock Investment Management (Australia) Limited	02-Oct-24	yes	5.80	on mkt buy	USD	ORD	3,866	3,866

Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt		Consideration			Class and number of securities affected	Person's votes affected
			Cash	Non-cash	CCY		
BlackRock Investment Management (Australia) Limited	03-Oct-24	yes	5.77	on mkt buy	USD	ORD 61,179	61,179
BlackRock Investment Management (Australia) Limited	03-Oct-24	yes	5.77	on mkt buy	USD	ORD 4,213	4,213
BlackRock Advisors (UK) Limited	03-Oct-24	yes	5.77	on mkt buy	USD	ORD 2,158	2,158
BlackRock Investment Management (Australia) Limited	04-Oct-24	yes	5.75	on mkt buy	USD	ORD 3,447	3,447
BlackRock Investment Management (Australia) Limited	04-Oct-24	yes	5.75	on mkt buy	USD	ORD 8,483	8,483
BlackRock Investment Management (Australia) Limited	08-Oct-24	yes	5.84	on mkt buy	USD	ORD 13,157	13,157
BlackRock Investment Management (Australia) Limited	09-Oct-24	no	n/a	in specie	USD	ORD 293,407	293,407
BlackRock Financial Management, Inc.	09-Oct-24	yes	5.88	on mkt buy	USD	ORD 16,045	16,045
BlackRock Investment Management (Australia) Limited	10-Oct-24	yes	5.77	on mkt buy	USD	ORD 1,036	1,036
BlackRock Fund Advisors	11-Oct-24	no	n/a	in specie	USD	ORD 9,450	9,450
BlackRock Investment Management (Australia) Limited	11-Oct-24	yes	5.91	on mkt buy	USD	ORD 5,999	5,999
BlackRock Investment Management (Australia) Limited	15-Oct-24	yes	6.15	on mkt buy	USD	ORD 6,087	6,087
BlackRock Investment Management (Australia) Limited	16-Oct-24	yes	6.43	on mkt buy	USD	ORD 3,820	3,820
BlackRock Investment Management (Australia) Limited	17-Oct-24	yes	6.42	on mkt buy	USD	ORD 14,419	14,419
BlackRock Fund Advisors	18-Oct-24	no	n/a	in specie	USD	ORD 8,086	8,086
BlackRock Advisors (UK) Limited	18-Oct-24	yes	6.38	on mkt buy	USD	ORD 1,335	1,335
BlackRock Investment Management (Australia) Limited	21-Oct-24	yes	6.55	on mkt buy	USD	ORD 2,469	2,469
BlackRock Institutional Trust Company, National Association	21-Oct-24	yes	6.56	on mkt buy	USD	ORD 103,443	103,443
BlackRock Advisors (UK) Limited	23-Oct-24	yes	6.60	on mkt buy	USD	ORD 884	884
BlackRock Investment Management (Australia) Limited	24-Oct-24	yes	6.31	on mkt buy	USD	ORD 764	764
BlackRock Investment Management (Australia) Limited	24-Oct-24	yes	6.31	on mkt buy	USD	ORD 5,954	5,954
BlackRock Fund Advisors	25-Oct-24	no	n/a	in specie	USD	ORD 3,120	3,120
BlackRock Investment Management (Australia) Limited	29-Oct-24	yes	6.31	on mkt buy	USD	ORD 2,690	2,690
BlackRock Investment Management (Australia) Limited	30-Oct-24	yes	6.31	on mkt buy	USD	ORD 1,146	1,146
BlackRock Investment Management (Australia) Limited	01-Nov-24	yes	6.50	on mkt buy	USD	ORD 2,292	2,292
BlackRock Advisors (UK) Limited	04-Nov-24	yes	6.45	on mkt buy	USD	ORD 2,188	2,188
BlackRock Advisors (UK) Limited	04-Nov-24	yes	6.45	on mkt buy	USD	ORD 19,423	19,423
BlackRock Investment Management (Australia) Limited	06-Nov-24	yes	6.14	on mkt buy	USD	ORD 1,528	1,528
BlackRock Investment Management (Australia) Limited	06-Nov-24	yes	6.14	on mkt buy	USD	ORD 5,213	5,213
BlackRock Investment Management (Australia) Limited	07-Nov-24	yes	5.94	on mkt buy	USD	ORD 3,056	3,056
BlackRock Advisors (UK) Limited	07-Nov-24	yes	5.94	on mkt buy	USD	ORD 1,784	1,784
BlackRock Advisors (UK) Limited	07-Nov-24	yes	5.94	on mkt buy	USD	ORD 446	446
BlackRock Investment Management (Australia) Limited	07-Nov-24	yes	5.94	on mkt buy	USD	ORD 3,712	3,712
BlackRock Japan Co., Ltd.	08-Nov-24	no	n/a	in specie	USD	ORD 112,646	112,646
BlackRock Advisors, LLC	08-Nov-24	no	n/a	in specie	USD	ORD 84,485	84,485
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 140,808	140,808
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 281,615	281,615
BlackRock Japan Co., Ltd.	08-Nov-24	no	n/a	in specie	USD	ORD 93,872	93,872
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 1,408,076	1,408,076
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 4,693,588	4,693,588
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 516,295	516,295
BlackRock Investment Management (UK) Limited	08-Nov-24	no	n/a	in specie	USD	ORD 1,501,948	1,501,948
BlackRock Investment Management (UK) Limited	08-Nov-24	yes	6.21	on mkt buy	USD	ORD 9,016	9,016
BlackRock Japan Co., Ltd.	08-Nov-24	yes	6.21	on mkt buy	USD	ORD 3,221	3,221
BlackRock Investment Management (UK) Limited	08-Nov-24	yes	6.21	on mkt buy	USD	ORD 45,618	45,618

Holder of Relevant Interest	Date of Acquisition / Trans on a prescribed fin'l mkt		Consideration			Class and number of securities affected		Person's votes affected
			Cash	Non-cash	CCY			
BlackRock Japan Co., Ltd.	08-Nov-24	yes	6.21	on mkt buy	USD	ORD	3,659	3,659
BlackRock Investment Management (UK) Limited	08-Nov-24	yes	6.21	on mkt buy	USD	ORD	15,388	15,388
BlackRock Investment Management (UK) Limited	08-Nov-24	yes	6.21	on mkt buy	USD	ORD	162,394	162,394

Annexure C

This is Annexure C of 1 pages referred to in form 603 Notice of initial substantial shareholder

12-Nov-24

Date

Jack Tuberosa,

Authorised Signatory

7. Addresses

The addresses of persons named in this form are as follows:

Name	Address
BlackRock Group	
BlackRock Inc.	50 Hudson Yards New York, NY 10001 USA
BlackRock Advisors (UK) Limited	12 Throgmorton Avenue, London, EC2N 2DL, United Kingdom
BlackRock Advisors, LLC	251 Little Falls Dr Wilmington, DE 19808-1674
BlackRock Asset Management Canada Limited	161 Bay Street, Suite 2500 Toronto, Ontario M5J 2S1 Canada
BlackRock Financial Management, Inc.	251 Little Falls Dr Wilmington, DE 19808-1674
BlackRock Fund Advisors	400 Howard Street San Francisco, CA, 94105 United States
BlackRock Institutional Trust Company, National Association	1225 17th Street, Suite 300, Denver, CO 80202 (Colorado, USA)
BlackRock Investment Management (Australia) Limited	Level 37 Chifley Tower, 2 Chifley Square, Sydney NSW 2000 Australia
BlackRock Investment Management (UK) Limited	12 Throgmorton Avenue London EC2N 2DL United Kingdom
BlackRock Investment Management, LLC	251 Little Falls Dr Wilmington, DE 19808-1674
BlackRock Japan Co., Ltd.	Marunouchi Trust Tower Main, 1-8-3 Marunouchi, Chiyoda-ku, Tokyo 100-8217 (Japan)

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This is Annexure D of 3 pages referred to in form 603 Notice of initial substantial shareholder

Jack Tuberosa, Authorised Signatory

12-Nov-2024
Date

Type of agreement:	Global Master Securities Lending Agreement, Overseas Securities Lending Agreement or Master Securities Lending Agreement.
Parties to agreement:	The relevant counterparty as described in the lists of Securities Lending Agreements entered into by BlackRock Advisors Limited and BlackRock Institutional Trust Corporation, N.A., respectively, on the following page.
Transfer date:	The date of the relevant transaction identified as a collateral transfer referred to in Annexure B to form 603.
Holder of voting rights:	The Borrower.
Are there any restrictions on voting rights? If yes, detail	Yes. Collateral is held through a tripartite structure under which the triparty collateral manager will not act in respect of voting rights unless it receives instructions to do so from the Borrower.
Scheduled return date (if any):	The date of the relevant transaction referred to in Annexure B to form 603.
Does the borrower have the right to return early? If yes, detail	Yes. The Borrower is entitled to substitute existing collateral with other equities at any time.
Does the lender have the right to recall early? If yes, detail	Yes, at notice.
Will the securities be returned on settlement? If yes, detail any exceptions	Yes.

A copy of the relevant agreement will be provided to CAPRICORN METALS LTD or the Australian Securities and Investments Commission upon request.

Securities Lending Agreements

Agreements entered into between BlackRock Advisors Limited and each of the following counterparties:

- (a) Bank of Nova Scotia, London Branch GMSLA, 25 August 2011
- (b) Barclays Bank plc GMSLA, 9 April 2104
- (c) Barclays Capital Securities Limited GMSLA, 2 December 2012
- (d) BNP Paribas Arbitrage GMSLA, 30 May 2013
- (e) BNP Paribas GMSLA, 8 May 2007
- (f) Citigroup Global Markets Limited GMSLA, 18 January 2007
- (g) Credit Suisse AG, Dublin Branch GMSLA, 28 September 2015
- (h) Credit Suisse International GMSLA, 10 March 2014
- (i) Credit Suisse Securities (Europe) Limited GMSLA, 26 August 2015
- (j) Deutsche Bank AG, London Branch GMSLA, 25 August 2017
- (k) Goldman Sachs International GMSLA, 2nd August 2022
- (l) HSBC Bank plc GMSLA GMSLA, 27 October 2016
- (m) ING Bank N.V. GMSLA, 12 November 2018
- (n) J.P. Morgan Securities plc GMSLA, 12 July 2011
- (o) Macquarie Bank Limited GMSLA, 18 August 2014
- (p) Merrill Lynch International GMSLA, 3 February 2020
- (q) Morgan Stanley & Co International plc GMSLA, 9 June 2021
- (r) Natixis SA GMSLA, 29 January 2018
- (s) Nomura International plc GMSLA, 2 November 2011
- (t) Royal Bank of Canada Europe Limited GMSLA, 3 February 2017
- (u) Royal Bank of Scotland plc (renamed to Natwest Markets Plc) GMSLA, 1 April 2011
- (v) Santander UK Plc GMSLA, 25 January 2019
- (w) Societe Generale GMSLA, 27 January 2012
- (x) UBS AG GMSLA, 28 April 2016
- (y) UBS Europe SE GMSLA, 1 February 2019
- (z) BAL/Banco Santander, S.A. GMSLA, 30 April 2019
- (aa) Canadian Imperial Bank of Commerce, London Branch GMSLA, 21 June 2019
- (bb) Zürcher Kantonalbank GMSLA, 30 October 2019
- (cc) The Hongkong and Shanghai Banking Corporation Limited GMSLA, 7 February 2020
- (dd) BNP Paribas Prime Brokerage International Limited GMSLA 19 October 2021
- (ee) Jefferies International Limited GMLSA 22 June 2023

Agreements entered into by BlackRock Institutional Trust Corporation, N.A. and each of the following counterparties:

- a. Bank of Nova Scotia GMSLA, December 6, 2016
- b. Barclays Bank plc GMSLA, April 24, 2017
- c. Barclays Capital Securities Limited GMSLA, March 15, 2017
- d. BNP Paribas Arbitrage GMSLA, June 5, 2017
- e. Citigroup Global Markets Limited GMSLA, June 3, 2015
- f. Credit Suisse AG, Dublin Branch GMSLA, October 14, 2015
- g. Credit Suisse International GMSLA, April 4, 2020
- h. Credit Suisse Securities (Europe) Limited GMSLA, October 2, 2015
- i. Deutsche Bank AG, London Branch GMSLA, June 7, 2017
- j. Goldman Sachs International GMSLA, February 20, 2023
- k. HSBC Bank plc GMSLA, February 12, 2013
- l. J.P. Morgan Securities plc GMSLA, October 4, 2016
- m. J.P. Morgan Securities plc GMSLA (Pledge), July 1, 2020
- n. Macquarie Bank Limited GMSLA, October 3, 2016
- o. Merrill Lynch International GMSLA, February 19, 2014
- p. Morgan Stanley & Co International plc GMSLA, November 16, 2021
- q. Nomura International plc GMSLA 03 August 2018
- r. Societe Generale GMSLA, September 28, 2016
- s. UBS AG GMSLA, May 26, 2016
- t. UBS AG GMSLA (Pledge), June 2, 2020
- u. UBS Europe SE GMSLA, 1 February 2019
- v. The Hongkong and Shanghai Banking Corporation Limited GMSLA, 22 February 2019
- w. RBC Europe Limited GMSLA, 4 February 2019
- x. ING BANK N.V. GMSLA, 27 February, 2019
- y. BANCO SANTANDER, S.A. GMSLA, 11 September, 2019
- z. Credit Suisse A.G., Singapore Branch GMSLA, 25 October, 2019

- aa. Natixis S.A. GMSLA, November 21, 2019.
- bb. CREDIT SUISSE INTERNATIONAL GMSLA, 4 April 2020
- cc. BNP Paribas Prime Brokerage International Limited GMSLA 22 November 2021

These counterparties do not pledge Australian equities:

- (a) Merrill Lynch, Pierce, Fenner Smith Incorporated

These counterparties do not have any business with us anymore although may have done previously:

- (a) Morgan Stanley Securities Limited (No Business) OSLA 15 March 1999

Royal Bank of Scotland N.V. **GMSLA, 12 October 2011 – No Business, superseded by Royal Bank of Scotland PLC**

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