



6 November 2024

## ASIC proceedings against officer

### ASX Announcement

On 4 August 2023, E&P Financial Group Limited (the **Company** or **EP1**) announced that ASIC had commenced proceedings against Paul Ryan, Managing Director and CEO, E&P Wealth, in relation to his role as a director of Dixon Advisory & Superannuation Services Pty Ltd (Administrators Appointed) (**DASS**).

Earlier today, the Federal Court of Australia ordered ASIC's legal proceedings against Paul Ryan be dismissed and the plaintiff pay the defendant's costs of the proceeding.

EP1 and its subsidiaries were not parties to the proceedings.

This announcement has been authorised for release by the CEO of E&P Financial Group Limited.

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### About E&P Financial Group Limited

E&P Financial Group is an Australian Securities Exchange listed financial services group. In E&P Wealth we service approximately 7,400 clients, representing \$29.4 billion in funds under advice. In E&P Capital we are an advisor to many leading Australian institutions through the provision of research, institutional sales and trading, corporate advisory, equity capital market and debt capital market services. In E&P Funds, we manage \$2.2 billion of assets across international equities and private equity.