

16 February 2021

### Correction to Response to ASX Price Query

The announcement today titled **“Response to ASX Price Query”** twice referred to an announcement dated 21/02/2021. This was incorrect. This announcement should have instead referred to an announcement dated 08/02/2021.

Please find below a corrected Response to ASX Price Query.

For further information contact:

**Tim Edwards**  
**Company Secretary**  
**Aspermont Limited**  
+61 8 6263 9100

16 February 2021

Mr Daniel Nicholson  
Adviser, Listings Compliance (Perth)  
Level 40, Central Park  
152-158 St Georges Terrace  
Perth WA 6000

**Response to Price and Volume Query**

Dear Mr Nicholson,

Aspermont Limited (the Company) refers to your letter dated 16 February 2021 and responds to your questions as follows:

1. No.
2. Not applicable.
3. The Company has recently been quoted on the Frankfurt Stock Exchange (announcement dated 18/01/2021). Subsequently the Company has also been quoted on the Tradegate Exchange (announcement dated 08/02/2021). The Company has also recently appointed new Corporate Advisors in Singapore and new Investment Relations in Germany (announcement dated 08/02/2021).

We believe a combination of the above has resulted in the increased price and trading volume seen recently.

4. The Company confirms that it is in compliance with the Listing Rules, in particular, Listing Rule 3.1.
5. The above responses have been authorised and approved by an officer of the Company with delegated authority from the board to respond to ASX on disclosure matters.

Yours Faithfully,

**Tim Edwards**

**Company Secretary  
Aspermont Limited**

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16 February 2021

Reference: 30910

Mr Tim Edwards  
Company Secretary  
Aspermont Limited

By email

Dear Mr Edwards

**Aspermont Limited ('ASP'): Price and Volume - Query**

ASX refers to the following:

- A. The change in the price of ASP's securities from a low of \$0.020 on Tuesday, 9 February 2021, to an intraday high of \$0.040 at the time of writing, today.
- B. The significant increase in the volume of ASP's securities traded from 9 February 2021 to 16 February 2021.

**Request for information**

In light of this, ASX asks ASP to respond separately to each of the following questions and requests for information:

1. Is ASP aware of any information concerning it that has not been announced to the market which, if known by some in the market, could explain the recent trading in its securities?
2. If the answer to question 1 is "yes".
  - (a) Is ASP relying on Listing Rule 3.1A not to announce that information under Listing Rule 3.1? Please note that the recent trading in ASP's securities would suggest to ASX that such information may have ceased to be confidential and therefore ASP may no longer be able to rely on Listing Rule 3.1A. Accordingly, if the answer to this question is "yes", you need to contact us immediately to discuss the situation.
  - (b) Can an announcement be made immediately? Please note, if the answer to this question is "no", you need to contact us immediately to discuss requesting a trading halt (see below).
  - (c) If an announcement cannot be made immediately, why not and when is it expected that an announcement will be made?
3. If the answer to question 1 is "no", is there any other explanation that ASP may have for the recent trading in its securities?
4. Please confirm that ASP is complying with the Listing Rules and, in particular, Listing Rule 3.1.
5. Please confirm that ASP's responses to the questions above have been authorised and approved under its published continuous disclosure policy or otherwise by its board or an officer of ASP with delegated authority from the board to respond to ASX on disclosure matters.

**When and where to send your response**

This request is made under Listing Rule 18.7. Your response is required as soon as reasonably possible and, in any event, by no later than **9:45 AM AWST Tuesday, 16 February 2021**. You should note that if the information requested by this letter is information required to be given to ASX under Listing Rule 3.1 and it does not fall

within the exceptions mentioned in Listing Rule 3.1A, ASP's obligation is to disclose the information 'immediately'. This may require the information to be disclosed before the deadline set out in the previous paragraph and may require ASP to request a trading halt immediately.

Your response should be sent to me by e-mail at **ListingsCompliancePerth@asx.com.au**. It should not be sent directly to the ASX Market Announcements Office. This is to allow me to review your response to confirm that it is in a form appropriate for release to the market, before it is published on the ASX Market Announcements Platform.

### **Trading halt**

If you are unable to respond to this letter by the time specified above, or if the answer to question 1 is "yes" and an announcement cannot be made immediately, you should discuss with us whether it is appropriate to request a trading halt in ASP's securities under Listing Rule 17.1. If you wish a trading halt, you must tell us:

- the reasons for the trading halt;
- how long you want the trading halt to last;
- the event you expect to happen that will end the trading halt;
- that you are not aware of any reason why the trading halt should not be granted; and
- any other information necessary to inform the market about the trading halt, or that we ask for.

We require the request for a trading halt to be in writing. The trading halt cannot extend past the commencement of normal trading on the second day after the day on which it is granted. You can find further information about trading halts in Guidance Note 16 *Trading Halts & Voluntary Suspensions*.

### **Suspension**

If you are unable to respond to this letter by the time specified above, ASX will likely suspend trading in ASP's securities under Listing Rule 17.3.

### **Listing Rules 3.1 and 3.1A**

In responding to this letter, you should have regard to ASP's obligations under Listing Rules 3.1 and 3.1A and also to Guidance Note 8 *Continuous Disclosure*: Listing Rules 3.1 – 3.1B. It should be noted that ASP's obligation to disclose information under Listing Rule 3.1 is not confined to, nor is it necessarily satisfied by, answering the questions set out in this letter.

### **Release of correspondence between ASX and entity**

We reserve the right to release a copy of this letter, your reply and any other related correspondence between us to the market under Listing Rule 18.7A.

For personal use only

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### Questions

If you have any questions in relation to the above, please do not hesitate to contact me.

Yours faithfully

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**Daniel Nicholson**  
Adviser, Listings Compliance (Perth)